

# IIROC NOTICE

**Rules Notice**  
**Technical**  
UMIR

*Please distribute internally to:*

Institutional  
Legal and Compliance  
Senior Management  
Trading

*Contact:*

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**14-0221**  
**September 29, 2014**

## **Market Rules Advisory Committee**

The IIROC Market Rules Advisory Committee (“MRAC”) provides advice to staff and the President of IIROC regarding proposed amendments to UMIR, draft guidance on the interpretation or application of UMIR and other policy matters related to market regulation.

The following individuals will be the members of MRAC for the two-year term commencing October 1, 2014:

### ***Trading Representatives***

Rizwan Awan	BMO Capital Markets
Doug Clark	ITG Canada
Andrew Jappy	Canaccord Genuity
Patrick McEntyre	National Bank Financial
David Panko	TD Securities
Kevin Thomsen	Raymond James



### ***Institutional/Subscriber Representatives***

Andy O'Hara	Tradebot Systems
Kelly Reynolds	Hillsdale Investment Management

### ***Legal/Compliance Representatives***

Vanessa Gardiner	Scotia Capital
Lafleche Montreuil	Desjardins Securities
Irene Winel	Merrill Lynch Canada

### ***Independent Representatives***

Wayne Groom	Tellico Group
Sean Shore	Canadian Compliance and Regulatory Law

### ***Retail Investor Representative***

Lindsay Speed	FAIR Canada
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### ***Marketplace Representatives***

Torstein Braaten	TriAct Canada
Leo Drori	Instinet Canada
Mark Faulkner	Canadian Securities Exchange
Sean Debotte	Omega ATS / Lynx ATS
Kevin Sampson	TMX Group (Toronto Stock Exchange, TSX Venture Exchange, Alpha Exchange, TMX Select)
Matthew Thompson	Chi-X Canada / CX2 Canada ATS
Raymond Tierney	Bloomberg Tradebook Canada
Robert Young	Liquidnet Canada